

Piet Mondrian, *Tableau No. IV; Lozenge Composition with Red, Gray, Blue, Yellow, and Black*

INTERACTING
RINGS

David Pierce

July, 2009

Lyon

The interacting rings in question arise from **differential fields**:

$$(K, \partial_0, \dots, \partial_{m-1}),$$

where

1. K is a field—in particular, a **commutative ring**;
2. each ∂_i is a **derivation** of K : an endomorphism D of the abelian group of K that obeys the **Leibniz rule**,

$$D(x \cdot y) = D(x) \cdot y + x \cdot D(y);$$

3. $[\partial_i, \partial_j] = 0$ in each case, where $[\cdot, \cdot]$ is the **Lie bracket**, so

$$[x, y] = x \circ y - y \circ x.$$

A standard example is $(\mathbb{C}(x_0, \dots, x_{m-1}), \frac{\partial}{\partial x_0}, \dots, \frac{\partial}{\partial x_{m-1}})$.

In general, let

$$V = \text{span}_K(\partial_i : i < m) \subseteq \text{Der}(K);$$

then V is also a **Lie ring**.

Recall some notions due to Abraham Robinson:
 The *quantifier-free* theory of \mathfrak{A}_A is denoted by

$$\text{diag}(\mathfrak{A}).$$

A theory T is **model complete** under any of three equivalent conditions:

1. whenever \mathfrak{A} is a **model** of T , the theory

$$T \cup \text{diag}(\mathfrak{A})$$

is **complete**;

2. whenever $\mathfrak{A} \models T$,

$$T \cup \text{diag}(\mathfrak{A}) \vdash \text{Th}(\mathfrak{A}_A);$$

3. whenever $\mathfrak{A}, \mathfrak{B} \models T$,

$$\mathfrak{A} \subseteq \mathfrak{B} \implies \mathfrak{A} \preceq \mathfrak{B}.$$

Then T is complete if all models have a common submodel.

Robinson's examples of model complete theories include the theories of

1. torsion-free divisible abelian groups (*i.e.* vector spaces over \mathbb{Q}),
2. algebraically closed fields,
3. real-closed fields.

Theorem (Robinson). T is model complete, provided

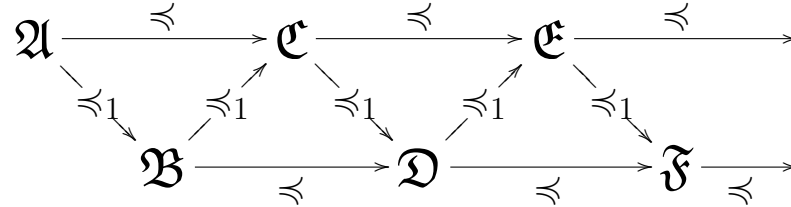
$$T \cup \text{diag}(\mathfrak{A}) \vdash \text{Th}(\mathfrak{A}_A)_{\forall}$$

whenever $\mathfrak{A} \models T$, that is,

$$\mathfrak{A} \subseteq \mathfrak{B} \implies \mathfrak{A} \preceq_1 \mathfrak{B}$$

whenever $\mathfrak{A}, \mathfrak{B} \models T$.

Proof. If $\mathfrak{A} \preceq_1 \mathfrak{B}$, then $\mathfrak{A} \preceq \mathfrak{C}$ for some \mathfrak{C} , where $\mathfrak{B} \subseteq \mathfrak{C}$; then $\mathfrak{B} \preceq_1 \mathfrak{C}$, so continue: □



Let

$$\begin{aligned} \text{DF}^m &= \text{Th}(\{\text{fields with } m \text{ commuting derivations}\}), \\ \text{DF}_0^m &= \text{DF}^m \cup \{p \neq 0 : p \text{ prime}\}. \end{aligned}$$

Theorem (McGrail, 2000). DF_0^m has a **model companion**, DCF_0^m : that is,

$$(\text{DF}_0^m)_\forall = (\text{DCF}_0^m)_\forall$$

and DCF_0^m is model complete.

Theorem (Yaffe, 2001). The theory of fields of characteristic 0 with m derivations D_i , where

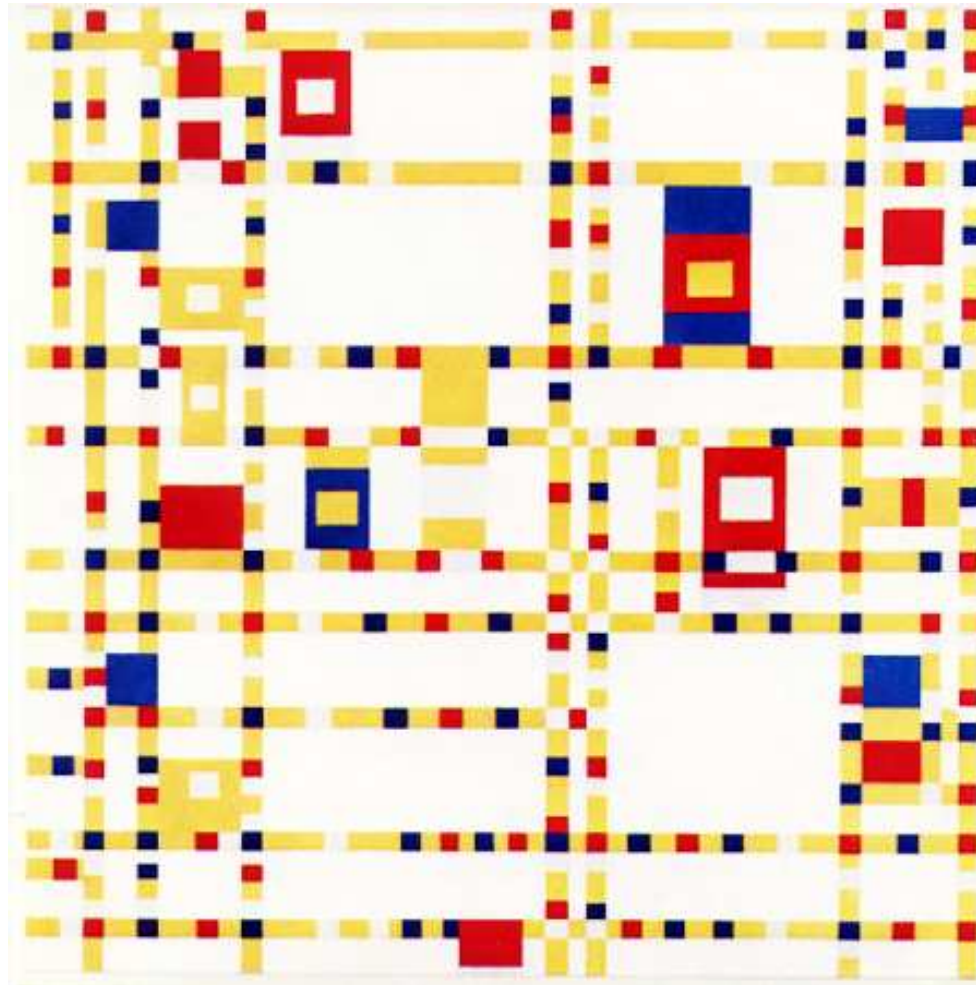
$$[D_i, D_j] = \sum a_{ij}^k D_k,$$

has a model companion.

Theorem (P, 2003; Singer, 2007). The latter follows readily from the former.

Theorem (P, submitted March, 2008). DF^m has a model companion, DCF^m , given in terms of varieties.

What is the model
theory of V ?



Piet Mondrian, *Broadway Boogie Woogie*

First consider rings
in general.

In the most general sense, a **ring** is a structure

$$(E, \cdot),$$

where

1. E is an abelian group in $\{0, -, +\}$, and
2. the binary operation \cdot distributes over $+$ in both senses: it is a **multiplication**.

Beyond this, there are axioms for:

commutative rings

$$xy - yx = 0$$

$$(xy)z = x(yz)$$

Lie rings

$$x^2 = 0$$

$$(xy)z = x(yz) - y(xz)$$

By itself, $(xy)z = x(yz)$ defines **associative rings**;
and $(xy)z = x(yz) - y(xz)$ is the **Jacobi identity**.

For rings, are there **representation theorems** like the following?

Theorem (Cayley). Every abstract group $(G, 1, {}^{-1}, \cdot)$ embeds in the symmetry group

$$(\text{Sym}(G), \text{id}_G, {}^{-1}, \circ)$$

under $x \mapsto \lambda_x$, where

$$\lambda_g(y) = g \cdot y.$$

A ring is **Boolean** if it satisfies $x^2 = x$.

Theorem (Stone). Every abstract Boolean ring $(R, 0, +, \cdot)$ or \mathfrak{R} embeds in a Boolean ring of sets

$$(\mathcal{P}(\Omega), \emptyset, \Delta, \cap).$$

(Here $\Omega = \{\text{prime ideals of } \mathfrak{R}\}$, and the embedding is $x \mapsto \{\mathfrak{p} : x \notin \mathfrak{p}\}$.)

For associative rings and Lie rings *only*, there are such theorems.

I know no representation theorem for **abelian groups**. There are just ‘**prototypical**’ abelian groups, like \mathbb{Z} . One might mention *Pontryagin duality*: Every (topological) abelian group G embeds in G^{**} , where $G^* = \text{Hom}(G, \mathbb{R}/\mathbb{Z})$.

Prototypical **associative rings** include

1. \mathbb{Z} , \mathbb{Q} , \mathbb{R} , \mathbb{C} , and \mathbb{H} ;
2. matrix rings.

But there are **non-associative rings**:

1. (\mathbb{R}^3, \times) is a **Lie ring** (in fact, the *Lie algebra* of $\text{SO}(3, \mathbb{R})$);
2. the **Cayley–Dickson algebras** \mathbb{R} , \mathbb{R}' , \dots become non-associative after \mathbb{R}'' (which is \mathbb{H}):

Let (E, \cdot) be a ring with an *involutive anti-automorphism* or **conjugation** $x \mapsto \bar{x}$. The abelian group $M_2(E)$ is a ring under

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x & y \\ z & w \end{pmatrix} = \begin{pmatrix} ax + zb & ya + bw \\ xc + dz & cy + wd \end{pmatrix},$$

with conjugation

$$\begin{pmatrix} x & y \\ z & w \end{pmatrix} \mapsto \begin{pmatrix} \bar{x} & \bar{z} \\ \bar{y} & \bar{w} \end{pmatrix}.$$

Let E' comprise the matrices

$$\begin{pmatrix} x & y \\ -\bar{y} & \bar{x} \end{pmatrix}.$$

Then E' is closed under the operations, and E embeds under

$$x \mapsto \begin{pmatrix} x & 0 \\ 0 & \bar{x} \end{pmatrix}.$$

If E is an abelian group, then its multiplications compose an abelian group that has an involutory automorphism,

$$\mathfrak{m} \mapsto \dot{\mathfrak{m}},$$

where $\dot{\mathfrak{m}}$ is the **opposite** of \mathfrak{m} :

$$\dot{\mathfrak{m}}(x, y) = \mathfrak{m}(y, x).$$

Let $\text{End}(E)$ be the *abelian group* of endomorphisms of E . Then

1. $(\text{End}(E), \circ)$ is an associative ring;
2. $(\text{End}(E), \circ - \dot{\circ})$ is a Lie ring;
3. $(\text{End}(E), \circ + \dot{\circ})$ is a **Jordan ring**:¹ a ring satisfying

$$xy = yx, \quad (xy)x^2 = x(yx^2).$$

¹Pascual Jordan, 1902–80.

If (E, \cdot) is a ring, let

$$x \mapsto \lambda_x: E \rightarrow \text{End}(E),$$

where (as in the Cayley Theorem)

$$\lambda_a(y) = a \cdot y.$$

If p and q are in \mathbb{Z} , let (E, \cdot) be called a (p, q) -**ring** if

$$x \mapsto \lambda_x: (E, \cdot) \rightarrow (\text{End}(E), p\circ - q\dot{\circ}).$$

Theorem. All associative rings are $(1, 0)$ -rings; all Lie rings are $(1, 1)$ -rings. In particular, $(\text{End}(E), p\circ - q\dot{\circ})$ is a (p, q) -ring if

$$(p, q) \in \{(0, 0), (1, 0), (1, 1)\}.$$

Theorem (P). The converse holds.

Proof. We have

$$x \mapsto \lambda_x : (\text{End}(E), p \circ - q \circ) \rightarrow (\text{End}(\text{End}(E)), p \circ - q \circ)$$

if and only if

$$\lambda_{xy} = \lambda_x \lambda_y,$$

that is,

$$\lambda_{px \circ y - qy \circ x}(z) = (p\lambda_x \circ \lambda_y - q\lambda_y \circ \lambda_x)(z),$$

that is,

$$\begin{aligned} & p(px \circ y - qy \circ x) \circ z - qz \circ (px \circ y - qy \circ x) \\ &= p(px \circ (py \circ z - qz \circ y) - q(py \circ z - qz \circ y) \circ x) \\ &\quad - q(py \circ (px \circ z - qz \circ x) - q(px \circ z - qz \circ x) \circ y), \end{aligned}$$

that is,

$$p^2 = p^3, \quad pq = p^2q, \quad qp = q^3, \quad p^2q = pq^2, \quad pq = pq^2$$

—assuming the 6 compositions $x \circ y \circ z$ *etc.* are independent in some example; and they are when $E = \mathbb{Z}^4$. □

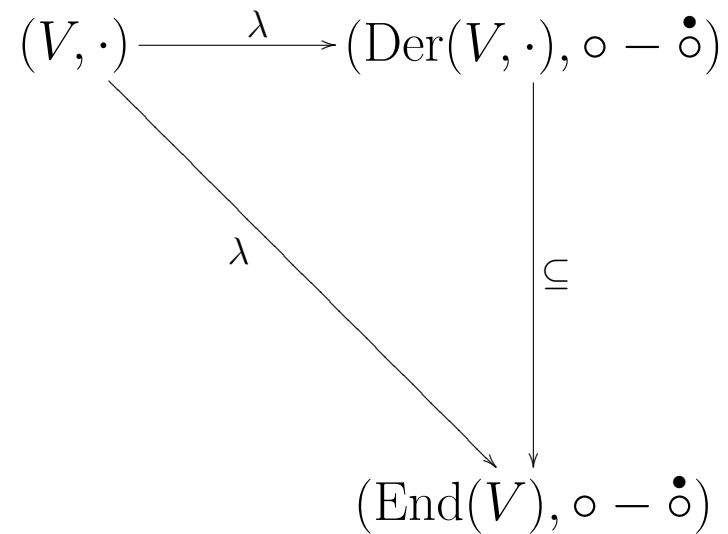
If (V, \cdot) is a Lie ring, then each λ_x is a **derivation** of it: Write the Jacobi identity as

$$x(yz) = (xy)z + y(xz);$$

this means

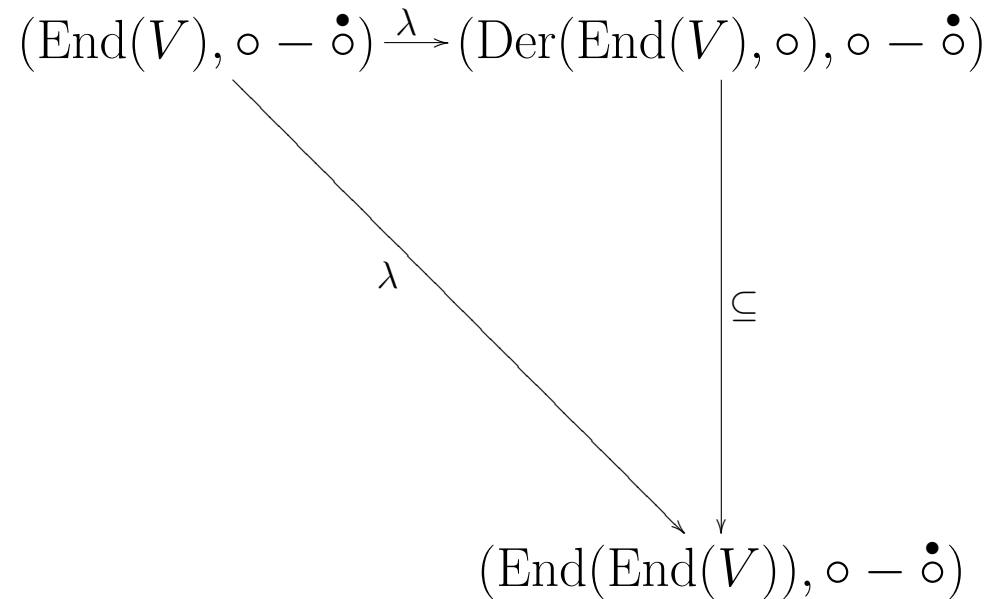
$$\lambda_x(yz) = \lambda_x(y) \cdot z + y \cdot \lambda_x(z).$$

Thus λ factors:



For any abelian group V , the Lie ring $(\text{End}(V), \circ - \dot{\circ})$ acts as a ring of derivations of the **associative** ring $(\text{End}(V), \circ)$:

$$\begin{aligned} [z, x \circ y] &= z \circ x \circ y && - x \circ y \circ z \\ &= z \circ x \circ y - x \circ z \circ y + x \circ z \circ y - x \circ y \circ z \\ &= [z, x] \circ y && + x \circ [z, y]. \end{aligned}$$



Combine the diagrams—again, (V, \cdot) is a Lie ring:

$$\begin{array}{ccccc}
 (V, \cdot) & \xrightarrow{\lambda} & (\text{Der}(V, \cdot), \circ - \dot{\circ}) & & \\
 & \searrow \lambda & \downarrow \subseteq & & \\
 & & (\text{End}(V), \circ - \dot{\circ}) & \xrightarrow{\lambda} & (\text{Der}(\text{End}(V), \circ), \circ - \dot{\circ}) \\
 & & & \searrow \lambda & \downarrow \subseteq \\
 & & & & (\text{End}(\text{End}(V)), \circ - \dot{\circ})
 \end{array}$$

Each D in V determines the derivation

$$f \mapsto Df$$

of $(\text{End}(V), \circ)$, where

$$Df = \lambda_{\lambda_D}(f) = [\lambda_D, f],$$

so that

$$Df(x) = D \cdot (f(x)) - f(D \cdot x).$$

If $(K, \partial_0, \dots, \partial_{m-1}) \models \text{DF}^m$, and $V = \text{span}_K(\partial_i : i < m)$, and t in K is not constant, then

$$K = \{Dt : D \in V\}.$$

Indeed, if $Dt = a \neq 0$, then

$$x = \frac{x}{a}(Dt) = \left(\frac{x}{a}D\right)t.$$

There is an *elementary* class consisting of all (V, \cdot, t) such that

1. (V, \cdot) is a Lie ring,
2. $t \in \text{End}(V)$,
3. $(\{Dt : D \in V\}, \circ)$ is a **field** K ,
4. for all f and g in K and D in V ,

$$f \circ (Dg) = (f(D))g,$$

5. $\dim_K(V) \leq m$.

Let VL^m be the theory of this class. Then VL^m has $\forall\exists$ axioms.

Theorem (P). The theory VL^m has a model companion, whose models are precisely those models (V, \cdot, t) of VL^m such that, when we let

$$K = (\{Dt : D \in V\}, \circ),$$

then V has a commuting basis $(\partial_i : i < m)$ over K , and

$$(K, \partial_0, \dots, \partial_{m-1}) \models \text{DCF}^m.$$

Here $\dim_C(V) = \infty$, where C is the constant field.

However, for an infinite field K , the theory of Lie algebras over K apparently has no model-companion (Macintyre, announced 1973).

Is there a model-complete theory of infinite-dimensional Lie algebras with no extra structure?



Adolph Gottlieb, *Centrifugal*

We can also consider (V, K) as a two-sorted structure.

Suppose first (V, K) is just a vector space, in the signature comprising

1. the signature of abelian groups, for the vectors;
2. the signature of rings, for the scalars;
3. a symbol $*$ for the (right) action $(v, x) \mapsto v * x$ of K on V .

Let the theory of such structures of dimension n be

$$T_n,$$

where $n \in \{1, 2, 3, \dots, \infty\}$.

Theorem (Kuzichev, 1992). T_n admits elimination of quantified vector-variables.

A theory is **inductive** if unions of chains of models are models.

Theorem (Łoś & Suszko 1957, Chang 1959). A theory T is inductive if and only if

$$T = T_{\forall\exists}.$$

Hence all model complete theories have $\forall\exists$ axioms.

Of an arbitrary T , a model \mathfrak{A} is **existentially closed** if

$$\mathfrak{A} \subseteq \mathfrak{B} \implies \mathfrak{A} \preceq_1 \mathfrak{B}$$

for all models \mathfrak{B} of T .

Theorem (Eklof & Sabbagh, 1970). Suppose T is inductive. Then T has a model companion if and only if the class of its existentially closed models is elementary. In this case, the theory of this class is the model companion.

Again, T_n is the theory of vector spaces of dimension n .

If $n > 1$, then no completion T_n^* of T_n can be model complete, because it cannot be $\forall\exists$ axiomatizable:

There is a chain

$$(V, K) \subseteq (V', K') \subseteq \dots \subseteq (V^{(s)}, K^{(s)}) \subseteq \dots$$

of models of T_n^* , where

1. $(V^{(s)}, K^{(s)})$ has basis (v_s, \dots, v_{s+n-1}) , but
2. $v_s = v_{s+1} * x_s$ for some x_s in $K^{(s+1)} \setminus K^{(s)}$, so
3. the union of the chain has dimension 1.

The situation changes if there are *predicates* for linear dependence.

Let VS_n (where n is a positive integer) be the theory of vector spaces with a new n -ary predicate P^n for linear dependence. So P^n is defined by

$$\exists x^0 \dots \exists x^{n-1} \left(\sum_{i < n} v_i * x^i = 0 \ \& \ \bigvee_{i < n} x^i \neq 0 \right).$$

Let VS_∞ be the union of the VS_n .

Theorem (P).

1. VS_n has a model companion, the theory of n -dimensional spaces over algebraically closed fields.
2. VS_∞ has a model companion (even, model *completion*), the theory of infinite-dimensional spaces over algebraically closed fields.

The key is lowering dimension to n .

Given a field-extension L/K , where where

$$[L : K] \geq n + 1,$$

we can embed (K^{n+1}, K) in (L^n, L) , *as models of* VS_n , under

$$\begin{pmatrix} x^0 \\ \vdots \\ x^{n-1} \\ x^n \end{pmatrix} \mapsto \begin{pmatrix} 1 & & 0 & -a^0 \\ & \cdots & & \vdots \\ 0 & & 1 & -a^{n-1} \end{pmatrix} \begin{pmatrix} x^0 \\ \vdots \\ x^{n-1} \\ x^n \end{pmatrix},$$

that is,

$$\mathbf{x} \mapsto (I \mid -\mathbf{a}) \mathbf{x},$$

where the a^i are chosen from L so that the tuple

$$(a^0, \dots, a^{n-1}, 1)$$

is linearly independent over K .

Why? Given an $(n + 1) \times n$ matrix U over K , we want to show

$$\text{rank}(U) = n \iff \det \left((I \mid -\mathbf{a}) U \right) \neq 0.$$

Write U as $\begin{pmatrix} X \\ \mathbf{y}^t \end{pmatrix}$. Then

$$\text{rank}(U) = n \iff \det \left(\begin{array}{c|c} X & \mathbf{a} \\ \hline \mathbf{y}^t & 1 \end{array} \right) \neq 0.$$

Moreover,

$$\det \left(\begin{array}{c|c} X & \mathbf{a} \\ \hline \mathbf{y}^t & 1 \end{array} \right) = \det(X - \mathbf{a}\mathbf{y}^t),$$

$$X - \mathbf{a}\mathbf{y}^t = (I \mid -\mathbf{a}) \begin{pmatrix} X \\ \mathbf{y}^t \end{pmatrix} = (I \mid -\mathbf{a}) U.$$

That does it.

Compare:

Let T be the theory of fields with an algebraically closed subfield. The existentially closed models of T have transcendence-degree 1, because of

Theorem (Robinson). We have an inclusion

$$K(x, y) \subseteq L(y)$$

of pure transcendental extensions, where

$$K(x, y) \cap L = K,$$

provided

$$L = K(\alpha, \beta),$$

where

$$\alpha \notin K(x, y)^{\text{alg}}, \quad \beta = \alpha x + y.$$

(Hence T has no model companion.)

A **Lie–Rinehart pair** can be defined as any (V, K) , where:

1. V and K are abelian groups, *each* acting on the other, from the left and right respectively, by

$$(x, y) \mapsto x D y, \quad x * y \leftarrow (x, y).$$

2. The actions are faithful:

$$\exists y (x D y = 0 \Rightarrow x = 0), \quad \exists x (x * y = 0 \Rightarrow y = 0).$$

3. Multiplications are induced,

(i) on V , by the bracket;

(ii) on K , by (opposite) composition:

$$[x, y] D z = x D(y D z) - y D(x D z), \quad x * (y \cdot z) = (x * y) * z.$$

4. These multiplications are compatible with the actions:

$$(x * y) D z = (x D z) \cdot y, \quad x * (y D z) = [y, x * z] - [y, x] * z.$$

Then V does act on K as a Lie ring **of derivations**; that is,

$$x D(y \cdot z) = (x D y) \cdot z + y \cdot (x D z).$$

Indeed,

$$\begin{aligned} & w * (x D(y \cdot z)) \\ &= [x, w * (y \cdot z)] - [x, w] * (y \cdot z) \\ &= [x, (w * y) * z] - ([x, w] * y) * z \\ &= (w * y) * (x D z) + [x, w * y] * z \\ &\quad - [x, w * y] * z + (w * (x D y)) * z \\ &= (w * y) * (x D z) + (w * (x D y)) * z \\ &= w * (y \cdot (x D z)) + w * ((x D y) \cdot z) \\ &= w * (y \cdot (x D z) + (x D y) \cdot z). \end{aligned}$$

We may (asymmetrically!) make K commutative, and make V torsion-free as a K -module, so K is an integral domain.

The multiplications are **definable**.

Indeed, let V and K act mutually as abelian groups, as before.

Then K becomes a sub-ring of $(\text{End}(V), \circ)$ and an integral domain when we require

$$\begin{aligned} \exists w (x * y) * z &= x * w, \\ x * y = 0 &\Rightarrow x = 0 \vee y = 0, \\ (x * y) * z = x * w &\Rightarrow x = 0 \vee (x * y) * z = u * w, \\ (x * y) * z &= (x * z) * y \end{aligned}$$

Then we can require V to act on K as a **module** (over K) of **derivations**:

$$\begin{aligned} (x * y) * z &= x * w \\ \Rightarrow x * (v D w) &= (x * y) * (v D z) + (x * (v D y)) * z \\ x * ((y * z) D w) &= (x * (y D w)) * z. \end{aligned}$$

However, with no symbol for the bracket on V , the theory of Lie–Rinehart pairs is not inductive.

Indeed, the union of the chain

$$(V_0, K_0) \subseteq (V_1, K_1) \subseteq \cdots$$

of Lie–Rinehart pairs is not a Lie–Rinehart pair when

$$K_n = \mathbb{Q}(t^i : i < n), \quad V_n = \text{span}_{K_n}(D_i \upharpoonright K_n : i < n),$$

where

$$D_0 = \sum_{i < \omega} \partial_i, \quad D_1 = \sum_{i < \omega} (i + 1)t^i \partial_{i+1}, \quad D_n = \partial_n \text{ if } 1 < n < \omega,$$

where

$$\partial_i t^j = \delta_i^j.$$

For,

$$[D_0, D_1] = \sum_{i < \omega} (i + 1) \partial_{i+1} \notin V.$$

Let T be the theory of pairs (V, K) , where K is a field of characteristic 0, and V acts on K as a vector space of derivations. Let $\text{DCF}_0^{(m)}$ be the model-companion of the theory of fields of characteristic 0 with m derivations with no required interaction.

Theorem (Özcan Kasal). The existentially closed models of T are just those such that

1. $\text{tr-deg}(K/\mathbb{Q}) = \infty$;
2. $(K, v_0, \dots, v_{m-1}) \models \text{DCF}_0^{(m)}$ whenever (v_0, \dots, v_{m-1}) is linearly independent over K ;
3. if (x^0, \dots, x^{n-1}) is algebraically independent, and (y^0, \dots, y^{n-1}) is arbitrary, then for some v in V ,

$$\bigwedge_{i < n} v D x^i = y^i.$$

These are not first-order conditions: they require the constant field to be \mathbb{Q}^{alg} .

The picture changes when (for each n) a predicate Q_n is introduced for the n -ary relation on scalars defined by

$$\bigvee_{i < n} \forall v \left(\bigwedge_{j \neq i} v D x^j = 0 \Rightarrow v D x^i = 0 \right).$$

Let the new theory be

$$T',$$

so

$$T' \vdash \forall \mathbf{x} \left(\neg Q_n \mathbf{x} \Leftrightarrow \exists \mathbf{v} \bigwedge_{\substack{i < n \\ j < n}} v_i D x^j = \delta_i^j \right).$$

Say (a^0, \dots, a^{n-1}) from K is D -dependent if

$$(V, K) \models Q_n a^0 \cdots a^{n-1}.$$

So algebraic dependence implies D -dependence.

Also, D -dependence also makes K a pregeometry.

Theorem (Özcan Kasal). The existentially closed models of T' are those (V, K) such that $D\text{-dim}(K) = \infty$ and whenever

1. $(v_0, \dots, v_{k+\ell-1})$ is linearly independent, and

$$\bigwedge_{\substack{i < k+\ell \\ j < k}} v_i D a^j = \delta_i^j,$$

2. U is a quasi-affine variety over $\mathbb{Q}(\mathbf{a}, \mathbf{b})$ with a generic point

$$(x^0, \dots, x^{\ell-1}, y^0, \dots, y^{m-1}, \mathbf{z}),$$

where (\mathbf{x}, \mathbf{y}) is algebraically independent over $\mathbb{Q}(\mathbf{a}, \mathbf{b})$,

3. $g_i^j \in \mathbb{Q}(\mathbf{a}, \mathbf{b})[U]$, where $i < k + \ell$ and $j < m$;

then U contains $(a^k, \dots, a^{k+\ell-1}, \mathbf{c}, \mathbf{d})$ such that

1. each c^j and d^j is D -dependent on $(a^0, \dots, a^{k+\ell-1})$,

2. $\bigwedge_{\substack{i < k+\ell \\ j < k+\ell}} v_i D a^j = \delta_i^j$ & $\bigwedge_{\substack{i < k+\ell \\ j < m}} v_i D c^j = g_i^j(a^k, \dots, a^{k+\ell-1}, \mathbf{c}, \mathbf{d})$.



Franz Kline, *Palladio*

FIN