

Small doubling problems in Baumslag-Solitar groups and sums of dilates

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Groups and Topological Groups

Mimar Sinan Fine Arts University, Istanbul, Turkey

17 - 18 January 2014

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Direct and inverse problems

in additive number theory and in non – abelian group theory

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Additive Number Theory

Direct and Inverse theorems

G.A. Freiman,

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Definition

If X, Y are subsets of a group G , then we denote

$$XY := \{xy \mid x \in X, y \in Y\} \quad \text{and} \quad X^2 := \{x_1x_2 \mid x_1, x_2 \in X\} .$$

If $X = \{x\}$, then we denote XY by xY and if $Y = \{y\}$, then we write Xy instead of $X\{y\}$.

If G is an **additive** group, then we denote

$$X + Y = \{x + y \mid x \in X, y \in Y\} \quad \text{and} \quad 2X = \{x_1 + x_2 \mid x_1, x_2 \in X\} .$$

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*"Thus a **direct problem** in additive number theory is a problem which, given summands and some conditions, we discover something about the set of sums. An **inverse problem** in additive number theory is a problem in which, using some knowledge of the set of sums, we learn something about the set of summands."*

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Subsets of \mathbb{Z} of the form

$$r * A := \{rx : x \in A\},$$

where r is a **positive** integer and A is a **finite** subset of \mathbb{Z} , are called *r -dilates*.

Minkowski sums of dilates are defined as follows:

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In particular, they examined sums of two dilates of the form

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For example, it was shown by **J. Cilleruelo, M. Silva, C. Vinuesa** (A sumset problem, *J. Comb. Number Theory 2* (2010), no. 1, 79–89) that

$$|A + 2 * A| \geq 3|A| - 2.$$

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Let A is a **finite** subset of \mathbb{Z} .

Theorem (J. Cilleruelo, M. Silva, C. Vinuesa)

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Question

*What about $|A + r * A|$, where $r \geq 3$?*

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

*If $r \geq 3$, then $|A + r * A| \geq 4|A| - 4$.*

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What is the structure of the set A if $|A + 2 * A| < 4|A| - 4$?

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If $|A + 2 * A| < 4|A| - 4$, $|A| \geq 3$,
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Useful results

Write $[m, n] = \{x \in \mathbb{Z} \mid m \leq x \leq n\}$ and $\mathbb{N} = \{x \in \mathbb{Z} \mid x \geq 0\}$.

Let A and B finite subsets of \mathbb{Z} .

It is well known that $|A + B| \geq |A| + |B| - 1$.

Let $A = \{a_0 < a_1 < \dots < a_{k-1}\}$ be a finite increasing set of k integers.

By the *length* $\ell(A)$ of A we mean the difference

$$\ell(A) := \max(A) - \min(A) = a_{k-1} - a_0$$

between its maximal and minimal elements and

$$h_A := \ell(A) + 1 - |A|$$

denotes the number of *holes* in A , that is $h_A = |[a_0, a_{k-1}] \setminus A|$.

Finally, if $k \geq 2$, then we denote

$$d(A) := \text{g.c.d.}(a_1 - a_0, a_2 - a_0, \dots, a_{k-1} - a_0).$$

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Theorem (V.F. Lev - P.Y. Smelianski and Y.V. Stanchescu)

Let A and B be finite subsets of \mathbb{N} such that $0 \in A \cap B$. Define

$$\delta_{A,B} = \begin{cases} 1, & \text{if } \ell(A) = \ell(B), \\ 0, & \text{if } \ell(A) \neq \ell(B). \end{cases}$$

Then the following statements hold:

- (i) If $\ell(A) = \max(\ell(A), \ell(B)) \geq |A| + |B| - 1 - \delta_{A,B}$ and $d(A) = 1$, then

$$|A + B| \geq |A| + 2|B| - 2 - \delta_{A,B}.$$

- (ii) If $\max(\ell(A), \ell(B)) \leq |A| + |B| - 2 - \delta_{A,B}$, then

$$|A + B| \geq (|A| + |B| - 1) + \max(h_A, h_B) = \max(\ell(A) + |B|, \ell(B) + |A|).$$

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

$$\text{If } |A + 2 * A| < 4|A| - 4 ,$$

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Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

Let $A = \{a_0 < a_1 < a_2 < \dots < a_{k-1}\} \subset \mathbb{Z}$ be a finite set of integers of size $k = |A| \geq 1$. Then the following statements hold.

(a) If $1 \leq k \leq 2$, then $|A + 2 * A| = 3k - 2$ and A is an *arithmetic progression* of size k .

(b) If $k \geq 3$, assume that $|A + 2 * A| = (3k - 2) + h < 4k - 4$.

Then $h \geq 0$, $|A + 2 * A| \geq 3k - 2$

and the set A is a **subset** of an *arithmetic progression*

$$P = \{a_0, a_0 + d, a_0 + 2d, \dots, a_0 + (t - 1)d\}$$

of size $|P|$ bounded by $|P| \leq k + h = |A + 2 * A| - 2k + 2 \leq 2k - 3$.

(c) If $k \geq 1$ and $|A + 2 * A| = 3k - 2$, then A is an *arithmetic progression*

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Since $a \neq b$, it follows that $|A + 2 * A| = 4 = 3k - 2$ and A is an arithmetic progression of size k . The proof of (a) is complete.

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Claim 1:

$$\ell(A) \leq k + \max(m, n) - 2 \leq 2k - 3.$$

For the proof of Claim 1 we shall use (i) of the Theorem of V.F. Lev - P.Y. Smelianski and Y.V. Stanchescu.

Claim 2:

$$|A + 2 * A| \geq (3k - 2) + h_A.$$

Recall that $h_A = \ell(A) + 1 - |A|$. For the proof of Claim 2 we shall use Claim 1 and (ii) of the Theorem of V.F. Lev - P.Y. Smelianski and Y.V. Stanchescu.

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In both cases we obtain that h_A , the total number of holes in the normal set A , satisfies

$$0 \leq h_A \leq |A + 2 * A| - (3k - 2) =: h \leq k - 3.$$

Hence

$$h \geq h_A \geq 0 \quad \text{and} \quad |A + 2 * A| \geq (3k - 2).$$

Moreover, the set A is contained in the arithmetic progression

$$P = \{a_0, a_0 + 1, a_0 + 2, \dots, a_{k-1}\} = \{0, 1, 2, \dots, a_{k-1}\}$$

of size $a_{k-1} + 1 = k + h_A \leq k + h \leq 2k - 3$.

Proof of the Theorem - sketch

In both cases we obtain that h_A , the total number of holes in the normal set A , satisfies

$$0 \leq h_A \leq |A + 2 * A| - (3k - 2) =: h \leq k - 3.$$

Hence

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It follows that Theorem (b) holds for **normal** sets A satisfying the hypothesis.

Let now A be an **arbitrary** finite set of $k = |A| \geq 3$ integers satisfying the hypothesis. We define

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Small doubling property

Let G be a **group** and S a **finite subset** of G .

Let $S^2 = \{s_1 s_2 \mid s_1, s_2 \in S\}$.

Problem

What if the structure of S if $|S^2|$ satisfies

$$|S^2| \leq \alpha |S| + \beta,$$

for some small $\alpha \geq 1$ and small $|\beta|$?

Definition

The subset S of G is said to satisfy the **small doubling property** if

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The groups $BS(m, n)$

For integers m and n , the general **Baumslag-Solitar group** $BS(m, n)$ is a group with two generators a, b and one defining relation $b^{-1}a^mb = a^n$:

$$BS(m, n) := \langle a, b \mid a^m b = b a^n \rangle.$$

"The Baumslag-Solitar groups are a particular class of two-generator one-relator groups which have played a surprisingly useful role in **combinatorial** and, more recently (the 1990s), **geometric group theory**. In a number of situations they have provided **examples** which mark boundaries between different classes of groups and they often provide a **testbed** for theories and techniques."

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When $\mathcal{BS}(m, n)$ is a Hopfian group

More generally:

$$\mathcal{BS}(m, n) = \langle a, b \mid a^m b = b a^n \rangle$$

is Hopfian if and only if :

- (i) $|m| = |n|$ or
- (ii) $|m| = 1$ or
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We shall concentrate on the Baumslag-Solitar groups

$$\mathcal{BS}(1, n) = \langle a, b \mid ab = b a^n \rangle.$$

They are extensions of a copy of the additive group of n -adic rational numbers by an infinite cyclic group. They are orderable groups.

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Let S be a finite subset of $\mathcal{BS}(1, n)$ of size k contained in the coset $b^r \langle a \rangle$ for some $r \geq 0$. Then

$$S = \{b^r a^{x_0}, b^r a^{x_1}, \dots, b^r a^{x_{k-1}}\},$$

where $A = \{x_0, x_1, \dots, x_{k-1}\}$ is a subset of \mathbb{Z} . We introduce now the notation

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From $a^x b = ba^{nx}$ for each $x \in \mathbb{Z}$ it follows

$$(b^r a^x)(b^s a^y) = b^r (a^x b^s) a^y = b^r (b^s a^{n^s x}) a^y = b^{r+s} a^{n^s x + y}.$$

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Theorem

Suppose that $S = b^r a^A \subseteq \mathcal{BS}(1, n)$, $T = b^s a^B \subseteq \mathcal{BS}(1, n)$, where $r, s \in \mathbb{Z}$, $r, s \geq 0$ and A, B are finite subsets of \mathbb{Z} . Then

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The group $\mathcal{BS}(1, 2) = \langle a, b \mid ab = ba^2 \rangle$

Theorem (J. Cilleruelo, M. Silva, C. Vinuesa)

If A is a finite set of integers, then $|A + 2 * A| \geq 3|A| - 2$ and $|A + 2 * A| = 3|A| - 2$ if and only if A is an *arithmetic progression*.

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What is the structure of an *arbitrary* subset of $\mathcal{BS}(1, 2)$, satisfying some small doubling condition?

Very difficult!

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Consider the submonoid

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Let

$$S := a^{A_0} \cup \{b\} \subset \mathcal{BS}^+(1, 2),$$

where

$$A_0 = \{0, 1, 2, \dots, k-2\} \text{ and } k > 2 \text{ is even.}$$

The set S is clearly **non-abelian**, and it intersects non-trivially the **two distinct cosets** $1\langle a \rangle$ and $b\langle a \rangle$ of $\langle a \rangle$ in $\mathcal{BS}^+(1, 2)$.

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Since

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it follows that the three components of S^2 are disjoint in pairs and hence

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Proof. If $j = 0$ and $m_0 = 0$, then $k_0 = |S_0| = |A_0| \geq 2$ implies that $S_0 \neq \{1\}$ and $A_0 \neq \{0\}$. Since $t \geq 1$, it follows that there are three integers m, x, z such that $m \geq 1$, $x \neq 0$, $a^x \in S_0$ and $b^m a^z \in S_1$. In this case

$$a^x(b^m a^z) = b^m a^{z+2^m x} \neq (b^m a^z)a^x = b^m a^{z+x}$$

and therefore S generates a non-abelian group.

$$S = S_0 \cup S_1 \cup \dots \cup S_t, \quad t \geq 0, \quad S_j = b^{m_j} a^{A_j}, \quad 0 \leq m_0 < m_1 < \dots < m_t$$

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It remains to examine the following two cases:

(i) $j \geq 1$.

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If $j \geq 1$, then $m_j \geq 1$ and $k_j = |S_j| = |b^{m_j} a^{A_j}| \geq 2$ implies that $|A_j| \geq 2$. On the other hand, if $j = 0$ and $m_0 \geq 1$, then $k_0 = |S_0| = |b^{m_0} a^{A_0}| \geq 2$ implies that $|A_0| \geq 2$. In both cases, let $m = m_j$. Then $m \geq 1$ and there are two integers $x \neq y$ such that $\{b^m a^x, b^m a^y\} \subseteq S_j$. We conclude that

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Let $S \subseteq BS^+(1, 2)$ be a finite set of size $k = |S|$.

Lemma (2)

Suppose that $t = 1$. Then $|S^2| \geq \frac{7}{2}|S| - 4$.

Lemma (3)

Suppose that $t \geq 2$. If $k_0 = |S_0| \geq 2$ and $k_i = |S_i| = 1$ for every $1 \leq i \leq t$, then $|S^2| \geq 4k - 5 > \frac{7}{2}|S| - 4$ and the inequality is tight.

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Thank you for the attention !

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